

AUDIT AND RISK COMMITTEE

A meeting of the committee will be held at **1515 hours** on **Thursday 12th November 2015**, in the Robert Tong room, Queens' Building, Mile End Campus.

The meeting will be preceded by an *in camera* meeting for members with the External Auditors at 1500 hours.

DRAFT AGENDA

Part 1: Introduction		Paper	Committee Lead	Lead
1.	Welcome and Apologies	Oral report	D Willis	N/A
2.	Meeting of 14 September 2015:		D Willis	J Morgan
	<ul style="list-style-type: none"> • Non-confidential minutes • Confidential minutes • Executive summary 	ARC2015/		
3.	Matters Arising	ARC2015/	D Willis	J Morgan
Part 2: External Audit				
4.	Audited Financial Statements 2014–15	ARC2015/	K Barrow	J Jones Deloitte
5.	External audit opinion on Financial Statements 2014-15 and external audit management letter	ARC2015/	K Barrow	J Jones Deloitte
Part 3: Risk Management				
6.	'Deep dive' risk report (Cost control, value for money)	ARC2015/	E Hall	M Shore-Nye
7.	Strategic risk management	ARC2015/	E Hall	Prof S Gaskell
8.	Whistleblowing cases since the last meeting	Oral report	E Hall	J Jones
Part 4: Financial Control				
9.	Value for Money annual report and strategy	ARC2015/	D Willis	M Shore-Nye
10.	Update on FRS102 implementation	ARC2015/	D Willis	J Jones
11.	Fraud/Financial irregularities occurring since the last meeting	Oral report	D Willis	J Jones

Part 5: Statutory and Regulatory Compliance

12.	Legal compliance exceptions report	ARC2015/	D Willis	J Morgan
------------	---	----------	----------	----------

Part 6: Internal and External Audit

13.	Internal audit reports: <ul style="list-style-type: none">• School of Medicine and Dentistry	ARC2015/	M Tatton	KPMG
14.	Progress report on internal audit recommendations and areas of non-compliance	ARC2015/	M Tatton	M Shore-Nye
15.	Annual review of Internal Auditor appointment	ARC2015/	M Tatton	M Shore-Nye

Part 7: Committee Management and Reporting

16.	Audit and Risk Committee annual report 2014–15: final draft	ARC2015/	D Willis	J Morgan
17.	*Committee schedule of business 2015–16	ARC2015/	D Willis	J Morgan
18.	*Draft agenda for the next meeting	ARC2015/	D Willis	J Morgan
19.	Any other business	N/A	D Willis	N/A

*will be taken without discussion unless a request to open the item for debate is sent to the Chairman or Secretary prior to the start of the meeting.