

AUDIT AND RISK COMMITTEE

A meeting of the committee will be held at **1515 hours** on **Wednesday 16 September 2026**,
Rooms 2.16/2.17, Department W.

The meeting will be preceded by an *in camera* meeting for members with the Internal
Auditors at 1500 hours.

AGENDA

- 1. 15:15 Welcome, apologies and declarations of interests**

TO NOTE the register of interests for Committee members and attendees ARC26/01 A Pryde
- 2. 15:15 Risk management (50 mins)**

a) TO CONSIDER a report on strategic risk and KPIs (40 mins) ARC26/02 C Bailey

b) TO CONSIDER an assurance report on research integrity cases in 2025–26 (10 mins) ARC26/03 J Patterson
- 3. 16:05 External audit (35 mins)**

a) TO CONSIDER progress on actions from the 2025 Management Letter (5 mins) Oral report K Kröger

b) TO CONSIDER an update on the 2026 audit (10 mins) Oral report K Kröger

c) TO CONSIDER the draft narrative for the Financial Statements 2026 (20 mins) ARC26/04 K Kröger
- 4. 16:40 Internal audit (30 mins)**

a) TO CONSIDER progress on actions from previous audits (10 mins) ARC26/05 KPMG

b) TO CONSIDER planned internal audit reports (10 mins):
 - Data management and retention
 - Workforce planning
 - Maintenance ARC26/06 KPMG

c) TO CONSIDER the draft internal audit annual report for 2025–26 and the final internal audit plan for 2026–27 (10 mins) ARC26/07 KPMG
- 5. 17:10 Health and Safety (10 mins)**

TO CONSIDER a report on a fire in the Engineering Building (10 mins) ARC26/08 S Ellis

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| 6. | 17:20 | Policies to approve (5 mins) | | |
| | | TO APPROVE the prevention of tax evasion policy | ARC26/09 | K Kröger |
| 7. | 17:25 | Standing reports (15 mins) | | |
| | | a) TO CONSIDER a report on whistleblowing cases in 2025–26 (10 mins) | ARC26/10 | J Morgan |
| | | b) TO CONSIDER cases of fraud or financial irregularities since the last meeting (5 mins) | Oral report | K Kröger |
| 8. | 17:40 | Committee management and reporting (22 mins) | | |
| | | a) TO CONFIRM the minutes of the meeting held on 09 June 2026 (2 mins) | ARC26/11 | A Pryde |
| | | b) TO NOTE matters arising from previous meetings (3 mins) | ARC26/12 | A Pryde |
| | | c) TO CONSIDER the Committee's terms of reference, membership and effectiveness (10 mins) | ARC26/13 | A Pryde |
| | | d) TO CONSIDER the first draft of the Committee's Annual Report for 2025–26 (5 mins) | ARC26/14 | A Pryde |
| | | e) TO NOTE the draft agenda for the next meeting (2 mins) | ARC26/15 | A Pryde |
| 9. | | Any other business | N/A | A Pryde |
| 10. | | Dates of meetings 2026–27 | | |
| | | ➤ Thursday 05 November 2026 at 1515 hours in rooms 2.16/2.17, Department W. | | |
| | | ➤ Wednesday 10 March 2027 at 1500 hours in rooms 2.16/2.17, Department W. | | |
| | | ➤ Wednesday 16 June 2027 at 1500 hours in rooms 2.16/2.17, Department W. | | |