

## AUDIT AND RISK COMMITTEE

A meeting will be held at 3.00pm on Wednesday 09 November 2022  
in Rooms 2.16 / 2.17, Department W, Mile End Road, Whitechapel

### AGENDA

#### PART ONE: Full meeting with internal attendees

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|-----------|--|-------------|------------|
| <b>1.</b> | <b>Welcome, apologies and declaration of interests</b>   | Oral report | P Thompson |
| <b>2.</b> | <b>Internal audit</b>  |             |            |
|           | a) TO CONSIDER planned audit reports from 2021–22 (10 mins)  | ARC2022/15  | KPMG       |
|           | b) TO CONSIDER the Internal Audit Annual Report for 2021–22 and Head of Internal Audit Opinion (10 mins) | ARC2022/16  | KPMG       |
|           | c) TO NOTE progress with and TO APPROVE a change in the Internal Audit plan for 2022–23 (5 mins)         | ARC2022/17  | KPMG       |
| <b>3.</b> | <b>Financial Statements 2021–22</b>  |             |            |
|           | a) TO CONSIDER the External Audit Annual Report for 2021–22 (10 mins)                                    | ARC2022/18  | BDO        |
|           | b) TO RECOMMEND TO COUNCIL the Letter of Representation (10 mins)  | ARC2022/19  | K Kröger   |
|           | c) TO RECOMMEND TO COUNCIL the audited Financial Statements for 2021–22 (35 mins)                        | ARC2022/20  | K Kröger   |
| <b>4.</b> | <b>Assurance items</b>   |             |            |
|           | a) TO RECOMMEND TO COUNCIL the Fire, Health and Safety Annual Report for 2021–22 (25 mins)               | ARC2022/21  | J Morgan   |
|           | b) TO CONSIDER the Legal Compliance Annual Report for 2021–22 (10 mins)                                  | ARC2022/22  | J Morgan   |
|           | c) TO CONSIDER recent correspondence with the OfS under the notification procedure (10 mins)             | ARC2022/23  | J Morgan   |
|           | d) TO RECOMMEND TO COUNCIL the Prevent Duty Annual Return for 2021–22 (5 mins)                           | ARC2022/24  | J Morgan   |

e) TO CONSIDER the Research Integrity Annual Report for 2021–22 (10 mins)    ARC2022/25    S Ellis

**5. Standing reports**

a) TO NOTE any disclosures under the Whistleblowing Procedure since the last meeting (5 mins)    Oral report    J Morgan

b) TO NOTE any allegations of fraud or financial irregularity since the last meeting (5 mins)    Oral report    K Kröger

**6. Committee reporting and management**

a) TO CONSIDER the draft Audit and Risk Committee Annual Report for 2021–22 (15 mins)    ARC2022/26    P Thompson

b) TO CONFIRM the minutes of the meeting held on 27 September 2022 (5 mins)    ARC2022/27    P Thompson

c) TO CONSIDER matters arising from previous meetings (5 mins)    ARC2022/28    P Thompson

d) TO NOTE the draft agenda for the next meeting (5 mins)    ARC2022/29    P Thompson

**Part TWO: In camera meeting with External Auditors**

**7. In camera discussion with External Auditors (15mins)**    Oral report    BDO