

## AUDIT AND RISK COMMITTEE

A meeting of the committee will be held at **1515 hours** on **Wednesday 29 September 2021**, in Room 1.05, First floor, Department W, Mile End Road, Whitechapel.

The meeting will be preceded by an *in camera* meeting for members with the Internal Auditors at 1500 hours.

### AGENDA

	Paper	Lead
1. <b>Welcome and apologies</b>	Oral report	D Willis
2. <b>Meeting of 08 June 2021:</b>	ARC2021/01	D Willis
• <b>Non-confidential minutes</b>		
• <b>Confidential minutes</b>		
• <b>Executive summary</b>		
3. <b>Matters arising</b>	ARC2021/02	D Willis
4. <b>Strategic Risk Management</b>		
• <b>Deep dive on student recruitment</b>	ARC2021/03	Prof C Grant Dr M Leggett
• <b>Strategic risk register</b>	ARC2021/04	Dr C Murray
5. <b>Annual report on research integrity</b>	ARC2021/05	Dr S Ellis
6. <b>External audit</b>		
• <b>Progress on actions from the 2020 management letter</b>	ARC2021/06	J Trounson
• <b>Interim comments on the 2021 audit</b>	Oral report	Deloitte
• <b>Draft narrative and accounting estimates for the Financial Statements 2020–21</b>	ARC2021/07	K Kröger
7. <b>Internal audit</b>		
• <b>Internal audit plan 2021–22 final draft</b>	ARC2021/08	KPMG/ J Morgan
• <b>Planned internal audit reports</b>	ARC2021/09	KPMG
• <b>Update on internal audit recommendations</b>	ARC2021/10	KPMG
• <b>Draft annual report and Head of Internal audit opinion 2020–21</b>	ARC2021/11	KPMG
8. <b>Cyber security bi-annual report</b>	ARC2021/12	Dr R Bence
9. <b>PGT consumer protection compliance</b>	ARC2021/13	J Pallant
10. <b>Whistle blowing cases since the last meeting</b>		
• <b>Annual summary of disclosures 2020–21</b>	ARC2021/14	J Morgan
11. <b>Fraud/financial irregularities occurring since the last meeting</b>	Oral report	K Kröger

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| <b>12. CUC HE Audit Committee code of practice mapping exercise</b>  | ARC2021/15  | J Morgan |
| <b>13. Audit and Risk Committee Annual Report 2020–21 Draft 1</b>    | ARC2021/16  | D Willis |
| <b>14. Draft agenda for the next meeting</b>                         | ARC2021/17  | D Willis |
| <b>15. Update on the external audit tender</b>                       | Oral report | K Kröger |
| <b>16. Any other business</b>  | N/A         | D Willis |
| <b>17. Dates of meetings 2021–22</b>                                 |             |          |
| ➤ Tuesday 9 November 2021 at 1500 hours, Robert Tong Room, Mile End. |             |          |
| ➤ Wednesday 16 March 2022 at 1500 hours, Robert Tong Room, Mile End. |             |          |
| ➤ Thursday 23 June 2022 at 1500 hours, Robert Tong Room, Mile End.   |             |          |

\*will be taken without discussion unless a request to open the item for debate is sent to the Chair or Secretary prior to the start of the meeting.