

## AUDIT AND RISK COMMITTEE

A meeting of the committee will be held at **1515 hours** on **Tuesday 14 November 2017**, in the Robert Tong Room, Queens' Building, Mile End Campus.

The meeting will be preceded by an *in camera* meeting for members with the External Auditors at 1500 hours.

### AGENDA

Part 1: Introduction		Paper	Committee Lead	Lead
1.	Welcome and apologies	Oral report	D Willis	N/A
2.	Meeting of 02 October 2017: <ul style="list-style-type: none"> <li>Non-confidential minutes</li> <li>Confidential minutes</li> <li>Executive summary</li> </ul>	ARC2017/14	D Willis	S Marshall
3.	Matters arising	ARC2017/15	D Willis	S Marshall
Part 2: External Audit				
4.	Audited Financial Statements 2016–17	ARC2017/16	K Barrow	J Jones Deloitte
5.	External Audit Opinion on Financial Statements 2016–17 and Management Representation Letter	ARC2017/17	K Barrow	J Jones Deloitte
Part 3: Statutory and Regulatory Compliance				
6.	Annual report on data quality and returns to HEFCE, HESA and the SLC	Presentation	D Willis	L Gibbs J Morgan C Murray
7.	Value for Money annual report	ARC2017/18	M Chadha	L Gibbs
8.	Annual report on Prevent duty	ARC2017/19	D Willis	J Morgan
Part 4: Financial Control				
9.	Fraud/financial irregularities occurring since the last meeting	Oral report	D Willis	J Jones
Part 5: Risk Management				
10.	Whistleblowing cases since the last meeting	Oral report	D Willis	J Jones J Morgan

## Part 6: Committee Management and Reporting

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|-----|---|------------|----------|------------|
| 11. | <b>Audit and Risk Committee Annual Report 2016–17 Draft 2</b> | ARC2017/20 | D Willis | S Marshall |
| 12. | <b>Committee effectiveness report</b>                         | ARC2017/21 | D Willis | S Marshall |
| 13. | <b>*Draft agenda for the next meeting</b>                     | ARC2017/22 | D Willis | S Marshall |
| 14. | <b>Any other business</b>                                     | N/A        | D Willis | N/A        |
- Note: members to consider possible topics for deep dives arising from this meeting.*
15. **Dates of meetings 2017–18**
- Thursday 08 March 2018 at 1500 hours, room tbc, Charterhouse Square.
  - Thursday 14 June 2018 at 1500 hours, Robert Tong Room.

\*will be taken without discussion unless a request to open the item for debate is sent to the Chairman or Secretary prior to the start of the meeting.