

AUDIT AND RISK COMMITTEE

A meeting of the committee will be held at **1515 hours** on **Monday 02 October 2017**, in the Boardroom, QMB Innovation Centre, Whitechapel Campus.

The meeting will be preceded by an *in camera* meeting for members with the Internal Auditors at 1500 hours.

AGENDA

| Part 1: Introduction | | Paper | Committee Lead | Lead |
|---|--|-------------|----------------|---------------------|
| 1. | Welcome and apologies | Oral report | D Willis | N/A |
| 2. | Meeting of 01 June 2017: <ul style="list-style-type: none"> • Non-confidential minutes • Confidential minutes • Executive summary | ARC2017/01 | D Willis | S Marshall |
| 3. | Matters arising | ARC2017/02 | D Willis | S Marshall |
| Part 2: Risk Management | | | | |
| 4. | Strategic Risk Register | ARC2017/03 | N Choudhary | Prof E Burke |
| 5. | Whistleblowing cases since the last meeting | Oral report | D Willis | J Jones |
| Part 3: Statutory and Regulatory Compliance | | | | |
| 6. | Legal compliance report | ARC2017/04 | D Willis | J Morgan |
| 7. | Annual report on research integrity | ARC2017/05 | D Willis | Prof B Spence |
| Part 4: External Audit | | | | |
| 8. | External audit 2015–16 management letter: progress report on actions | ARC2017/06 | K Barrow | J Jones Deloitte |
| 9. | External audit 2016–17 interim comments | Oral report | K Barrow | J Jones Deloitte |
| Part 5: Internal Audit | | | | |
| 10. | Internal audit report: Translation of student numbers (from 2016–17) | ARC2017/07 | M Tatton | KPMG |
| 11. | Progress report on internal audit recommendations | ARC2017/08 | M Tatton | L Gibbs |
| 12. | Internal audit annual report 2016–17 | ARC2017/09 | M Tatton | KPMG |

Part 6: Financial Control

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| 13. | Fraud/financial irregularities occurring since the last meeting | Oral report | D Willis | J Jones |
| 14. | Financial Regulations and Scheme of Delegation of Financial Authority: annual review | ARC2017/10 | D Willis | J Jones |

Part 7: Committee Management and Reporting

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| 15. | Terms of Reference and membership | ARC2017/11 | D Willis | S Marshall |
| 16. | *Audit and Risk Committee Annual Report 2016–17 Draft 1 | ARC2017/12 | D Willis | S Marshall |
| 17. | *Draft agenda for the next meeting | ARC2017/13 | D Willis | S Marshall |
| 18. | Any other business | N/A | D Willis | N/A |
| 19. | Dates of meetings 2017–18 | | | |
| | ➤ Tuesday 14 November 2017 at 1500 hours, Robert Tong Room. | | | |
| | ➤ Thursday 08 March 2018 at 1500 hours, room tbc, Charterhouse Square. | | | |
| | ➤ Thursday 14 June 2018 at 1500 hours, Robert Tong Room. | | | |

*will be taken without discussion unless a request to open the item for debate is sent to the Chairman or Secretary prior to the start of the meeting.