

AUDIT AND RISK COMMITTEE

A meeting of the committee will be held at **1500 hours** on **Tuesday 07 February 2017**, in the Robert Tong Room, Queens' Building Campus.

DRAFT AGENDA

Part 1: Introduction		Paper	Committee Lead	Lead
1.	Welcome and apologies	Oral report	D Willis	N/A
2.	Meeting of 10 November 2016: <ul style="list-style-type: none"> • Non-confidential minutes • Confidential minutes • Executive summary 	ARC2016/23	D Willis	R Soper
3.	Matters arising	ARC2016/24	D Willis	R Soper
Part 2: Internal and external audit				
4.	Internal audit services appointment recommendation	ARC2016/25	M Tatton	L Gibbs
5.	Annual review of External Auditor appointment	Discussion	K Barrow	J Jones
6.	Internal audit reports: <ul style="list-style-type: none"> • Student complaints • Student housing 	ARC2016/26	M Tatton	KPMG
7.	Progress report on internal audit recommendations	ARC2016/27	M Tatton	L Gibbs
8.	External Audit Plan 2016-17	ARC2016/28	K Barrow	L Gibbs Deloitte
9.	Accounting opinion on student residences transaction	ARC2016/29	K Barrow	J Jones
Part 3: Risk Management				
10.	'Deep dive' risk report (social media)	Presentation	N Choudhary	L Gibbs
11.	Horizon scanning	Presentation and discussion	D Willis	Prof S Gaskell
12.	Strategic risk management	ARC2016/30	N Choudhary	Prof E Burke
13.	Whistleblowing cases since the last meeting	Oral report	D Willis	J Jones

Part 3: Statutory and Regulatory Compliance

14.	2015-16 Health, Safety, and Fire annual report summary	ARC2016/31	D Willis	E Burke/ Z Laing
15.	Sexual Harassment and UUK Changing the culture report	ARC2016/32	D Willis	L Gibbs
16.	TRAC Return 2015-16	ARC2016/33	D Willis	J Jones

Part 5: Financial Control

17.	Fraud/financial irregularities occurring since the last meeting	Oral report	D Willis	J Jones
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Part 6: Committee Management and Reporting

18.	*Draft annual schedule of business 2017/18	ARC2016/34	D Willis	R Soper
19.	*Draft agenda for the next meeting	ARC2016/35	D Willis	R Soper
20.	Any other business	N/A	D Willis	N/A
21.	Dates of meetings 2016–17			
	➤ Thursday 01 June 2017 at 1500 hours, Robert Tong Room, Queens' Building, Mile End.			

*will be taken without discussion unless a request to open the item for debate is sent to the Chairman or Secretary prior to the start of the meeting.