

AUDIT AND RISK COMMITTEE

A meeting of the committee will be held at **1500 hours** on **Thursday 4th February 2016**, in the Robert Tong Room, Queens' Building, Mile End Campus.

AGENDA

Part 1: Introduction		Paper	Committee Lead	Lead
1.	Welcome and apologies	Oral report	D Willis	N/A
2.	Meeting of 12 November 2015: <ul style="list-style-type: none"> • Non-confidential minutes • Confidential minutes • Executive summary 	ARC2015/31	D Willis	S Marshall
3.	Matters arising	ARC2015/32	D Willis	S Marshall
Part 2: Risk Management				
4.	'Deep dive' risk report (impact and innovation)	ARC2015/33	N Choudhary	Prof W Spence
5.	Strategic risk management	ARC2015/34	N Choudhary	Prof E Burke
6.	Whistleblowing cases since the last meeting	Oral report	N Choudhary	J Jones
Part 4: Financial Control				
7.	Fraud/Financial irregularities occurring since the last meeting	Oral report	D Willis	J Jones
Part 5: Statutory and Regulatory Compliance				
8.	Research integrity report	ARC2015/35	D Willis	Prof W Spence
9.	Modern Slavery Act	ARC2015/36	D Willis	E Bull
10.	2014–15 Health, Safety and Fire Annual Report	ARC2015/37	D Willis	Z Laing
11.	TRAC Summary Report	ARC2015/38	D Willis	J Jones
12.	Progress report on HEFCE data audit recommendations	ARC2015/39	D Willis	J Morgan
Part 6: Internal and External Audit				
13.	Internal audit reports: <ul style="list-style-type: none"> • Partnerships and collaborations • Health and safety 	ARC2015/40	M Tatton	KPMG E Bull

14.	Progress report on internal audit recommendations and areas of non-compliance	ARC2015/41	M Tatton	E Bull
15.	External audit plan 2015–16	ARC2015/42	K Barrow	E Bull Deloitte
16.	Annual review of External Auditor appointment	ARC2015/43	K Barrow	J Jones
17.	Update on FRS102 implementation • *FRS102 accounting policy changes	Oral report ARC2015/44	K Barrow	J Jones

Part 7: Committee Management and Reporting

18.	*Draft annual schedule of business 2016–17	ARC2015/45	D Willis	J Morgan
19.	*Draft agenda for the next meeting	ARC2015/46	D Willis	J Morgan
20.	Any other business	N/A	D Willis	N/A
21.	Dates of meetings 2015–16 ➤ Thursday 02 June 2016 at 1500 hours, Robert Tong Room			

*will be taken without discussion unless a request to open the item for debate is sent to the Chairman or Secretary prior to the start of the meeting.