

Programme Title: MA FT Regulation and Compliance (London)



## Programme Specification (PG)

Awarding body / institution:	Queen Mary University of London
Teaching institution:	Queen Mary University of London
Name of final award and programme title:	Master of Arts in Regulation and Compliance
Name of interim award(s):	Certificate/Diploma
Duration of study / period of registration:	1 year
QMUL programme code(s):	PMAF-QMCCLR1 PSRAC (FT)
QAA Benchmark Group:	Law
FHEQ Level of Award:	Level 7
Programme accredited by:	
Date Programme Specification approved:	
Responsible School / Institute:	Centre for Commercial Law Studies

Schools / Institutes which will also be involved in teaching part of the programme:

Institution(s) other than QMUL that will provide some teaching for the programme:

### Programme outline

The programme is designed to meet the high demand from the industry for highly-skilled professionals with a strong understanding of regulation and compliance disciplines. The programme is designed for those who are interested in pursuing a career in the compliance with an option of specialising in one of the following sectors: Financial Institution, Data protection and IP, Business and Insurance Law, Energy.

Students studying on the programme will have an exposure to the cutting-edge approaches in dealing with practical issues in compliance and regulation. The programme contains a range of both general and specialist modules to allow students a flexible choice of modules to tailor the programme to the student's specific needs.

### Aims of the programme

The objective of this programme is to introduce candidates to the critical role played by the Compliance function in Global Markets. The programme will providing an outline the fundamental of regulatory framework as well as more focused approach to compliance in various markets. The programme then provides a comprehensive inspection of the Compliance issues emanating in practice in global markets.

An opportunity for critical engagement is provided through the utilisation of practical applications and case studies. The programme is also enables students to reflect on how the regulatory rules are applied in the case studies and how they have been shaped by the changing regulatory environment.

The overall programme of Regulation and Compliance should provide ample scope for critical engagement within the broad, i.e. market as a whole and narrow, i.e. market specific, concepts of compliance that will allow students to pursue a career in compliance in various roles, e.g. compliance officer in a private sector and/or regulatory body.

### What will you be expected to achieve?

Students who successfully complete the programme will be able to pursue their career in compliance as well as work at a senior level in law firms, economic think-tanks, the financial industry, governmental bodies and consultancy firms.

#### Academic Content:

A 1	Demonstrate knowledge of a substantial range of major concepts, values, principles and rules of domestic and international legal environment within which the economy operates
A 2	Explain the legal framework (laws and procedures) that govern economic systems.
A 3	Demonstrate the study in depth in context of compliance systems.

#### Disciplinary Skills - able to:

B 1	Students should demonstrate an ability to apply knowledge to a situation of legal and regulatory complexity.
B 2	Read and discuss legal and regulatory materials which are written in technical and complex language
B 3	Bring together information and materials from a variety of different sources.

#### Attributes:

C 1	Work to tight deadlines in the process of carrying out projects involving complex regulatory analysis.
C 2	Work as part of a team to undertake the completion of projects involving complex regulatory analysis.
C 3	Understand the value of the ethical behaviour when working in such a delicate and important area.

### How will you learn?

A range of Teaching and Learning techniques will be used, tailored to the learning outcomes of the different modules. These will

include lectures, directed readings, practical and library-based research, presentations, group work and knowledge transfer activities. Individual module forms list further details of teaching and learning procedures. In particular, activities such as case study presentation that provide students with the necessary experience of presenting their work in front of an audience.

### How will you be assessed?

A wide range of assessment techniques will also be used. These will include technical exercises, critiques of and extended written analyses of primary and secondary sources including case-law and data. Individual module proposal forms list further details of assessment practices.

### How is the programme structured?

Please specify the full time and part time programme diets (if applicable). The description should be sufficiently detailed to fully define the structure of the diet.

The programme involves a curriculum consisting of an in-session introductory law course (non- credit bearing) that will be offered to students who have no law degree or legal experience while registered on the programme. It will enable students to access and understand legal resources and to apply all of this knowledge to the compliance and regulatory fields.

To be awarded the Masters of Art in Regulation and Compliance students must complete:

4 compulsory modules (x4 - 22.5 credits each),  
compulsory dissertation - case study & 7,500 word essay (x1 - 45 credit) and  
2 electives (x2 - 22.5 credits each) from a range of elective modules.

For an Award Route, students must complete:

4 compulsory modules (x4 - 22.5 credits each),  
compulsory dissertation - case study & 7,500 word essay (x1 - 45 credit) and  
2 electives (x2 - 22.5 credits each) from a limited selection of elective modules from their chosen Award Route (specialism) indicated below:

Award Route (Specialism)

Masters in Arts Regulation and Compliance (Business and Insurance Law) - Modules to be added, see below

Masters in Arts Regulation and Compliance (Financial Institutions)

Masters in Arts Regulation and Compliance (Data protection and IP)

Masters in Arts Regulation and Compliance (Energy)

A distance learning option is available, students wishing to incorporate DL modules must choose 3 distance learning elective modules (x3 - 15 credits each), instead of 2 elective modules (x2 - 22.5 credits each).

Compulsory Modules

SEM A

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Compliance systems in practice

Principles of Regulation

Law and Ethics in Finance

SEM B:

Dissertation (case study & 7,500 word essay)

Compliance in Global Markets

SEM C:

Dissertation (case study & 7,500 word essay - continued)

In addition to 4 compulsory modules students will be able to take 2 electives from the following Award Routes (specialism):

\*\*\*Masters in Arts in Regulation and Compliance (Financial Institutions)\*\*\*

EU financial and Monetary Law

Law of Finance and Foreign Investment in Emerging Economies Regulation of Financial Markets

Islamic Finance and Commercial Law Securities Regulations

Banking Law Insurance Regulations

Corporate Governance and Responsibility in Finance

\*\*\*Masters in Arts in Regulation and Compliance (Data protection and IP)\*\*\* Corporate Copyright Law

Comparative law of Patents and Trade Secrets EU Data Protection Law

International Law of Patents and Related Rights Design and Intellectual Property: EU and US The Law or Registered Trade Marks

Information Security and the Law

\*\*\*Masters in Arts in Regulation and Compliance (Business and Insurance Law)\*\*\*

Chinese Business Law

Law in Asia

International and Comparative law of Unfair Competition

Cartels, Collusion and Competition Law

Chinese Banking and Financial law

Competition Enforcement from Investigations to Sanctions Regulations of International Trade

Merger and Acquisitions

General Principles of Insurance Law ADD

Insurance Regulation ADD

Insurance Contracts ADD

Introduction to Insurance Regulation ADD

Marine Insurance Law ADD

\*\*\*Masters in Arts in Regulation and Compliance (Energy)\*\*\*

Energy Regulations and Policy

Energy Law and Ethics

Energy Economics I: A legal perspective

International Regulation and Governance in Energy

Transnational Law and Governance in Practice

\*\*\*Distance Learning\*\*\* (a selection of 3 - 4 modules will be offered from the list below on a two year cycle)

Online Banking and Financial Services

Privacy and Data Protection Law

Advanced IP Issues: Digital Rights Management

Internet Content Regulation

Information Security and the Law

European Telecommunications Law

Online Media Regulation

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Information and Communications Technology and Competition Law  
 Internet Governance  
 Cloud Computing

Global perspective of the programme:

Subject to immigration constraints, students will spend Semester A and Semester B in London.

There will be an option to provide dissertation supervision online, in other words the 'meetings' between a supervisor and a student can be conducted online using platforms such as Skype and Facetime.

Academic Year of Study

Module Title	Module Code	Credits	Level	Module Selection Status	Academic Year of Study	Semester
Principles of Regulation	QLLM155	22.5	7	Compulsory		Semester 1
Law and Ethics in Finance	QLLM374	22.5	7	Compulsory		Semester 1
Compliance Systems in Practice	QLLM480	22.5	7	Compulsory		Semester 1
Compliance in Global Markets	QLLM310	22.5	7	Compulsory		Semester 2
Elements of Islamic Law	QLLM164	22.5	7	Elective		Semester 1
Cartels Collusion and Competition Law	QLLM305	22.5	7	Elective		Semester 1
Chinese Business Law	QLLM316	22.5	7	Elective		Semester 1
Comparative Law of Patents and Trade Secrets	QLLM332	22.5	7	Elective		Semester 1
International and Comparative Law of Unfair Competition	QLLM338	22.5	7	Elective		Semester 1
EU Data Protection Law	QLLM353	22.5	7	Elective		Semester 1
Law and Finance in Emerging Economies	QLLM364	22.5	7	Elective		Semester 1
Regulation of Financial Markets	QLLM366	22.5	7	Elective		Semester 1
WTO Law: Market Access and Non-Discrimination	QLLM370	22.5	7	Elective		Semester 1

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Module Title	Module Code	Credits	Level	Module Selection Status	Academic Year of Study	Semester
EU Financial and Monetary Law	QLLM377	22.5	7	Elective		Semester 1
Energy Economics: A Legal Perspective	QLLM380	22.5	7	Elective		Semester 1
Energy Law and Ethics	QLLM382	22.5	7	Elective		Semester 1
United States Energy Law, Regulation and Policy	QLLM400	22.5	7	Elective		Semester 1
Law in Asia	QLLM477	22.5	7	Elective		Semester 1
General Principles of Insurance Law	QLLM138	22.5	7	Elective		Semester 1
Insurance Contracts	QLLM141	22.5	7	Elective		Semester 1
Introduction to Insurance Regulation	QLLM156	22.5	7	Elective		Semester 1
Insurance Regulation	QLLM139	22.5	7	Elective		Semester 2
International Regulation and Governance of Energy	QLLM154	22.5	7	Elective		Semester 2
Islamic Finance and Commercial Law	QLLM165	22.5	7	Elective		Semester 2
Competition Enforcement from Investigation to Sanctions	QLLM306	22.5	7	Elective		Semester 2
Transnational Law and Governance in Practice	QLLM315	22.5	7	Elective		Semester 2
Comparative Copyright Law	QLLM330	22.5	7	Elective		Semester 2
International Law of Patents and Related Rights	QLLM333	22.5	7	Elective		Semester 2
Design and Intellectual Property: EU and US	QLLM337	22.5	7	Elective		Semester 2
The Law of Registered Trade Marks	QLLM339	22.5	7	Elective		Semester 2
Information Security and the Law	QLLM354	22.5	7	Elective		Semester 2

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Module Title	Module Code	Credits	Level	Module Selection Status	Academic Year of Study	Semester
Chinese Banking and Finance Law	QLLM357	22.5	7	Elective		Semester 2
Banking Law	QLLM361	22.5	7	Elective		Semester 2
WTO Law: Trade Remedies and Regulatory Issues	QLLM371	22.5	7	Elective		Semester 2
Mergers and Acquisitions	QLLM373	22.5	7	Elective		Semester 2
Corporate Governance and Responsibility in Finance	QLLM375	22.5	7	Elective		Semester 2
Marine Insurance Law	QLLM300	22.5	7	Elective		Semester 2
Online Banking and Financial Services	CCDM008	15	7	Elective		Semester 1
Privacy and Data Protection Law	CCDM014	15	7	Elective		Semester 2
Advanced IP Issues: Digital Rights Management	CCDM015	15	7	Elective		Semesters 1-3
Internet Content Regulation	CCDM018	15	7	Elective		Semester 1
Information Security and the Law	CCDM019	15	7	Elective		Semester 3
European Telecommunications Law	CCDM021	15	7	Elective		Semester 3
Online Media Regulation	CCDM028	15	7	Elective		Semester 3
Information and Communications Technology and Competition Law	CCDM031	15	7	Elective		Semester 1
Internet Governance	CCDM039	15	7	Elective		Semester 3
Cloud Computing	CCDM043	15	7	Elective		Semester 1 or 2

### What are the entry requirements?

The applicants should have a 2.1 honours degree, or equivalent, and/or substantial professional experience may also qualify. IELTS 7 (minimum Writing: 7.0 and R, L, S: 5.5)

## How do we listen to and act on your feedback?

The Staff-Student Liaison Committee provides a formal means of communication and discussion between schools/institutes and its students. The committee consists of student representatives from each year in the school/institute together with appropriate representation from staff within the school/institute. It is designed to respond to the needs of students, as well as act as a forum for discussing programme and module developments. Staff-Student Liaison Committees meet regularly throughout the year. Each school/institute operates a Learning and Teaching Committee, or equivalent, which advises the School/Institute Director of Taught Programmes on all matters relating to the delivery of taught programmes at school level including monitoring the application of relevant QM policies and reviewing all proposals for module and programme approval and amendment before submission to Taught Programmes Board. Student views are incorporated in the committee's work in a number of ways, such as through student membership, or consideration of student surveys.

All schools/institutes operate an Annual Programme Review of their taught undergraduate and postgraduate provision. APR is a continuous process of reflection and action planning which is owned by those responsible for programme delivery; the main document of reference for this process is the Taught Programmes Action Plan (TPAP) which is the summary of the school/institute's work throughout the year to monitor academic standards and to improve the student experience. Students' views are considered in this process through analysis of the NSS and module evaluations.

## What academic support is available?

Initial assessment of education and training needs for taught postgraduate students is carried out by the Programme Director and the relevant administrative staff in charge of processing applications for the programme. Induction of new students is coordinated by the relevant Programme Administrator, in the CCLS in coordination with individual Programme Directors. An additional in-session introductory law course (non- credit bearing) session will be held for taught postgraduate students in the first two week of the academic session.

All taught postgraduate students in the programme are assigned a personal advisor, who is the student's primary point of contact for both academic and welfare issues relating to the programme as a whole and the student's experience at Queen Mary. Advisors oversee the regular progress of advisees through the programme, and help coordinate any intervention that may be necessary between the advisee and other members of the School/College staff (including the School Chair of Examiners). In addition to personal advisors, taught postgraduate students will be assigned supervisors for their dissertations during the second semester of the degree. Supervisors and supervisee's will meet, either physically or virtually, at least 3 times (the specifics of which are to be determined by the supervisor and the supervisee) to ensure sufficient progress is being made on the dissertation and that the submitted dissertation will be of a standard required for work at the Masters level.

In addition to pastoral and welfare services offered by the College, CCLS maintain an extensive support network for all students in taught programmes and students on the new programme will have full access to these. Details of the School's provision in this area can be found in the Handbooks for masters Students produced by CCLS.

## Programme-specific rules and facts

Programme will follow the MA Regulations.

## Specific support for disabled students

Queen Mary has a central Disability and Dyslexia Service (DDS) that offers support for all students with disabilities, specific learning difficulties and mental health issues. The DDS supports all Queen Mary students: full-time, part-time, undergraduate, postgraduate, UK and international at all campuses and all sites.

Students can access advice, guidance and support in the following areas:

- Finding out if you have a specific learning difficulty like dyslexia
- Applying for funding through the Disabled Students' Allowance (DSA)



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- Arranging DSA assessments of need
- Special arrangements in examinations
- Accessing loaned equipment (e.g. digital recorders)
- Specialist one-to-one study skills tuition
- Ensuring access to course materials in alternative formats (e.g. Braille)
- Providing educational support workers (e.g. note-takers, readers, library assistants)
- Access to specialist mentoring support for students with mental health issues and Autistic Spectrum Disorders.

### **Links with employers, placement opportunities and transferable skills**

The course is intended to give postgraduate training to students wishing to pursue careers in the Regulation Advisory Sector, Corporate Finance, Government, Law firms, International Financial Institutions, the banking and finance industry. Demand for such professionals is high both in the UK, and in fast developing transitional economies such as China, India and others. This Programme aims at Integrating Careers programme offered by the Careers service with academic study.

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## **Programme Specification Approval**

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**Person completing Programme Specification:**

**Person responsible for management of programme:**

**Date Programme Specification produced / amended by School / Institute Learning and Teaching Committee:**

**Date Programme Specification approved by Taught Programmes Board:**